

Report Identification Number: BU-22-004

Prepared by: New York State Office of Children & Family Services

**Issue Date: Jun 09, 2022** 

This report, prepared pursuant to section 20(5) of the Social Services Law (SSL), concerns:  A report made to the New York Statewide Central Register of Child Abuse and Maltreatment (SCR) involving the death of a child.
The death of a child for whom child protective services has an open case.
The death of a child whose care and custody or custody and guardianship has been transferred to an authorized agency.
The death of a child for whom the local department of social services has an open preventive service case.

The Office of Children and Family Services (OCFS) is mandated by section 20 of the SSL to investigate or cause for the investigation of the cause and circumstances surrounding the death, review such investigation, and prepare and issue a fatality report in regard to the categories of deaths noted above involving a child, except where a local or regional fatality review team issues a report, as authorized by law.

Such report must include: the cause of death; the identification of child protective or other services provided or actions taken regard to such child and child's family; any extraordinary or pertinent information concerning the circumstances of the child's death; whether the child or the child's family received assistance, care or services from the social services district prior to the child's death; any action or further investigation undertaken by OCFS or the social services district since the child's death; and as appropriate, recommendations for local or state administrative or policy changes.

This report contains no information that would identify the deceased child, his or her siblings, the parent, parents, or other persons legally responsible for the child, and any members of the deceased child's household.

By statute, this report will be forwarded to the social services district, chief county executive officer, chairperson of the local legislative body of the county where the child died and the social services district that had legal custody of the child, if different. Notice of the issuance of this report will be sent to the Speaker of the Assembly and the Temporary President of the Senate of the State of New York.

This report may <u>only</u> be disclosed to the public by OCFS pursuant to section 20(5) of the SSL. It may be released by OCFS only after OCFS has determined that such disclosure is not contrary to the best interests of the deceased child's siblings or other children in the household.

OCFS' review included an examination of actions taken by individual caseworkers and supervisors within the social services district and agencies under contract with the social services district. The observations and recommendations contained in this report reflect OCFS' assessment and the performance of these agencies.



### Abbreviations

Relationships								
BM-Biological Mother	SM-Subject Mother	SC-Subject Child						
BF-Biological Father	SF-Subject Father	OC-Other Child						
MGM-Maternal Grand Mother	MGF-Maternal Grand Father	FF-Foster Father						
PGM-Paternal Grand Mother	PGF-Paternal Grand Father	DCP-Day Care Provider						
MGGM-Maternal Great Grand Mother	MGGF-Maternal Great Grand Father	PGGF-Paternal Great Grand Father						
PGGM-Paternal Great Grand Mother	MA/MU-Maternal Aunt/Maternal Uncle	PA/PU-Paternal Aunt/Paternal Uncle						
FM-Foster Mother	SS-Surviving Sibling	PS-Parent Sub						
CH/CHN-Child/Children	OA-Other Adult							
	Contacts							
LE-Law Enforcement	CW-Case Worker	CP-Case Planner						
DrDoctor	ME-Medical Examiner	EMS-Emergency Medical Services						
DC-Day Care	FD-Fire Department	BM-Biological Mother						
CPS-Child Protective Services								
	Allegations							
FX-Fractures	II-Internal Injuries	L/B/W-Lacerations/Bruises/Welts						
S/D/S-Swelling/Dislocation/Sprains	C/T/S-Choking/Twisting/Shaking	B/S-Burns/Scalding						
P/Nx-Poisoning/ Noxious Substance	XCP-Excessive Corporal Punishment	PD/AM-Parent's Drug Alcohol Misuse						
CD/A-Child's Drug/Alcohol Use	LMC-Lack of Medical Care	EdN-Educational Neglect						
EN-Emotional Neglect	SA-Sexual Abuse	M/FTTH-Malnutrition/Failure-to-thrive						
IF/C/S-Inadequate Food/ Clothing/ Shelter	IG-Inadequate Guardianship	LS-Lack of Supervision						
Ab-Abandonment	OTH/COI-Other							
	Miscellaneous							
IND-Indicated	UNF-Unfounded	SO-Sexual Offender						
Sub-Substantiated	Unsub-Unsubstantiated	DV-Domestic Violence						
LDSS-Local Department of Social	ACS-Administration for Children's	NYPD-New York City Police						
Service	Services	Department						
PPRS-Purchased Preventive	TANF-Temporary Assistance to Needy	FC-Foster Care						
Rehabilitative Services	Families							
MH-Mental Health	ER-Emergency Room	COS-Court Ordered Services						
OP-Order of Protection	RAP-Risk Assessment Profile	FASP-Family Assessment Plan						
FAR-Family Assessment Response	Hx-History	Tx-Treatment						
CAC-Child Advocacy Center	PIP-Program Improvement Plan	yo- year(s) old						
CPR-Cardiopulmonary Resuscitation	ASTO-Allowing Sex Abuse to Occur							



#### **Case Information**

Report Type: Child Deceased Jurisdiction: Chautauqua Date of Death: 02/21/2022

Age: 3 month(s) Gender: Female Initial Date OCFS Notified: 02/21/2022

#### **Presenting Information**

Chautauqua County Department of Social Services (CCDSS) received an SCR report alleging on 2/21/22 the otherwise healthy subject child passed away. The subject child was in her car seat and the mother placed the car seat on the bed. The mother left the room for approximately 5 minutes and when she returned, the subject child was face down on the bed unresponsive. A subsequent report received on 2/21/22 alleged the subject child was sleeping in a baby carrier on top of the bed in which the mother was sleeping. The father fed the subject child and placed her back into the carrier. The father then went into another room to watch television. The father checked on the subject child and found her out of the carrier, face down on the bed, unresponsive and blue in color with blood coming from her nose. The parents called 911 and performed CPR until EMTs arrived and continued performing CPR. The subject child arrived at the hospital and was pronounced deceased at 3:52AM.

#### **Executive Summary**

This fatality concerns the death of a 3-month-old female subject child that occurred on 2/21/22. The report contained allegations of DOA/Fatality and Inadequate Guardianship against the mother and father, as well as Lack of Supervision against the mother. At the time of her death, the subject child resided with her mother, father, and maternal uncle. The subject child's maternal grandmother and 14-year-old maternal aunt lived in a downstairs apartment.

Chautauqua County Department of Social Services (CCDSS) completed collateral contacts and casework activity and learned that on 2/21/22 the subject child was put in a bounce chair, unrestrained, on top of the mother and father's bed. The mother went to sleep in her bed next to the bounce chair, while the father played video games in another room with a paternal uncle. The father reported that he would periodically check on the child. The father went to check on the subject child around 3:14AM and found that she was no longer in the bounce chair. The father found the subject child next to the bounce chair, face down on the bed with blood on her face. The father woke the mother, and the child was brought to the maternal grandmother who performed cardiopulmonary resuscitation until emergency medical services arrived. The subject child was brought to the hospital where she was later pronounced deceased.

An autopsy was performed, and an official cause and manner of death were pending at the time the CPS investigation was closed; however, there were no external injuries observed and the medical examiner noted the subject child appeared well-nourished. The medical examiner described the subject child's lungs as being "heavy," meaning they weighed more than expected. Further testing was being completed to determine if bottle propping contributed to the subject child's death. There had been no criminal charges filed pertaining to the subject child's death, though the criminal investigation remained open.

The allegations of DOA/Fatality and Inadequate Guardianship against the mother and father were substantiated. CCDSS determined there was a fair preponderance of evidence to support that the mother and father failed to meet a minimum degree of care, resulting in the subject child's death as the parents were made aware of safe sleep practices and put the subject child in an unsafe sleeping environment. The allegation of Lack of Supervision against the mother was unsubstantiated. All household members were offered bereavement services. The father was mandated to attend counseling as a condition of his probation, which he was on for charges unrelated to the fatality. The mother was still considering services at the time the CPS investigation closed.



 Was sufficient information gathered to make the decision recorded on the:

Safety assessment due at the time of determination?

N/A

#### **Determination:**

 Was sufficient information gathered to make determination(s) for all allegations as well as any others identified in the course of the investigation? Yes, sufficient information was gathered to determine all allegations.

• Was the determination made by the district to unfound or indicate appropriate?

Yes

#### **Explain:**

Chautauqua County Department of Social Services made an appropriate determination based on evidence obtained throughout the investigation.

Was the decision to close the case appropriate?

Yes

Was casework activity commensurate with appropriate and relevant statutory Yes

or regulatory requirements?

Was there sufficient documentation of supervisory consultation?

Yes, the case record has detail of the

consultation.

#### **Explain:**

Casework activity was commensurate with the case circumstances.

#### **Required Actions Related to the Fatality**

Are there Required Actions related to the compliance issue(s)? Yes No

### **Fatality-Related Information and Investigative Activities**

#### **Incident Information**

Time of fatal incident, if different than time of death:

Unknown

County where fatality incident occurred: Chautauqua

Was 911 or local emergency number called? Yes

Time of Call: 03:20 AM

Did EMS respond to the scene?

Yes

At time of incident leading to death, had child used alcohol or drugs?

Child's activity at time of incident:

NEW YORK AND FAMILY AN	hildren y Services Child Fatality 1	Report
<ul><li>Sleeping</li><li>☐ Playing</li><li>☐ Other</li></ul>	☐ Working ☐ Eating	☐ Driving / Vehicle occupant☐ Unknown
-	rvision at time of incident leading to death?	
How long before in	cident was the child last seen by caretaker? 2	0 Minutes
At time of incident	was supervisor impaired? Not impaired.	
At time of incident	supervisor was:	
☐ Distracted	Absent	
Asleep	Other: In another room playing video g	ames
Children ages 0-	aths at incident event:  18: 1  lts: 0	
	Household Composition at ti	me of Fatality

Household	Relationship	Role	Gender	Age
Deceased Child's Household	Aunt/Uncle	No Role	Male	23 Year(s)
Deceased Child's Household	Deceased Child	Alleged Victim	Female	3 Month(s)
Deceased Child's Household	Father	Alleged Perpetrator	Male	20 Year(s)
Deceased Child's Household	Mother	Alleged Perpetrator	Female	21 Year(s)

#### **LDSS Response**

Upon receipt of the SCR report, CCDSS coordinated their investigation with law enforcement, notified the district attorney's office and medical examiner, spoke with collateral sources, and interviewed all household members.

CCDSS interviewed the mother and father on 2/21/22. The father reported feeding the subject child around midnight, burping her, and then placing her in a bounce chair on top of the mother and father's full-size bed. The father did not strap the child into the bounce chair but stated he tucked the child in with a blanket. The mother and father both reported that the subject child would not sleep on her back this night, and this was the reason they used the bounce chair. The mother went to sleep in the bed the subject child's bounce chair was on around 1:00AM due to not feeling well, and the father played video games with a paternal uncle in another room. The father reported that he periodically checked on the child during breaks in his video game, approximately every 4 minutes. The father went to check on the child around 3:14AM and did not see the subject child in the bounce chair. The father found the subject child next to the bounce chair, face down on the bed near the mother's leg. The father observed the subject child's face in the blanket, neck tucked to her chest and blood on her face. The father woke the mother, who began screaming. The subject child was brought downstairs to the maternal grandmother's apartment. The grandmother blew in the subject child's face and performed cardiopulmonary resuscitation until emergency medical services arrived and took over life-saving measures. The subject child was transported to the hospital and pronounced deceased at 3:52AM.

Law enforcement responded to the residence and observed that the home was not the cleanest; however, did not note any safety concerns. CPS observed a bassinet and portable crib in the parents' bedroom, though both were filled with various items and did not appear to be slept in. Both parents admitted to smoking marijuana regularly. The mother denied smoking marijuana on the date of the subject child's death and reported that if she smoked, she would leave the child with the

BU-22-004 FINAL Page 5 of 10



grandmother. The father stated that he smoked marijuana on the evening preceding the subject child's death but denied being impaired. The maternal uncle who resided in the home was interviewed and reported hearing the mother screaming. The uncle stated he tried to remove himself from the panic but corroborated the parents' version of events following the subject child being found unresponsive.

CCDSS learned through their investigation that the subject child had medical complications while in utero and the mother used marijuana throughout her pregnancy; however, the subject child was born full term at 37 weeks gestation with no medical conditions. All household members and numerous collateral sources were contacted and expressed no concern for the parent's ability to care for the subject child. There were no surviving siblings. The 14-year-old aunt was interviewed and deemed to be safe with the grandmother.

#### Official Manner and Cause of Death

Official Manner: Pending

Primary Cause of Death: Pending

Person Declaring Official Manner and Cause of Death: Medical Examiner

#### Multidisciplinary Investigation/Review

Was the fatality investigation conducted by a Multidisciplinary Team (MDT)? Yes

Was the fatality referred to an OCFS approved Child Fatality Review Team? No

**Comments:** Chautauqua County does not have an OCFS approved Child Fatality Review Team.

#### **SCR Fatality Report Summary**

Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Allegation Outcome
059851 - Deceased Child, Female, 3 Mons	059852 - Mother, Female, 21 Year(s)	DOA / Fatality	Substantiated
059851 - Deceased Child, Female, 3 Mons	059852 - Mother, Female, 21 Year(s)	Inadequate Guardianship	Substantiated
059851 - Deceased Child, Female, 3 Mons	059853 - Father, Male, 20 Year(s)	DOA / Fatality	Substantiated
059851 - Deceased Child, Female, 3 Mons	059853 - Father, Male, 20 Year(s)	Inadequate Guardianship	Substantiated
059851 - Deceased Child, Female, 3 Mons	059853 - Father, Male, 20 Year(s)	Lack of Supervision	Unsubstantiated

#### **CPS Fatality Casework/Investigative Activities**

	Yes	No	N/A	Unable to Determine
All children observed?			$\boxtimes$	
When appropriate, children were interviewed?			$\boxtimes$	
Alleged subject(s) interviewed face-to-face?	$\boxtimes$			
All 'other persons named' interviewed face-to-face?	$\boxtimes$			

NEW YORK STATE	Office of Children and Family Services
----------------------	--

Contact with source?							
All appropriate Collaterals contacted?							
Was a death-scene investigation perform	ned?			$\boxtimes$			
Was there discussion with all parties (yo and staff) who were present that day (if comments in case notes)?	,		,				
Coordination of investigation with law e	enforcemen	t?					
Was there timely entry of progress notes documentation?	s and other	required					
	F-4-14- C	C-4 A	4	_			
	Fatality Sa	iety Assessn	ent Activitie	<u>s</u>			
				Yes	No	N/A	Unable to Determine
Were there any surviving siblings or oth	er children	in the ho	ısehold?				
	Legal Activ	ity Related	to the Fatalit	<b>y</b>			
Was there legal activity as a result of the fatality investigation? There was no legal activity.							
Services I	Provided to the	he Family in	Response to	the Fatality	y		
Services	Provided After Death	Offered, but Refused	Offered, Unknown if Used	Not Offered	Needed but Unavailal	N/A	CDR Lead to Referral

Services	Provided After Death	Offered, but Refused	Offered, Unknown if Used	Not Offered	Needed but Unavailable	N/A	CDR Lead to Referral
Bereavement counseling			$\boxtimes$				
<b>Economic support</b>							
Funeral arrangements				$\boxtimes$			
Housing assistance							
Mental health services			$\boxtimes$				
Foster care							
Health care							
Legal services							
Family planning							
<b>Homemaking Services</b>							
Parenting Skills							
<b>Domestic Violence Services</b>						$\boxtimes$	
<b>Early Intervention</b>						$\boxtimes$	

<u></u>							
NEW YORK STATE and Family Services	Child	Fatality	<b>Report</b>	t			
Alcohol/Substance abuse							
Child Care							
Intensive case management							
Family or others as safety resources							
Other							
fatality? Yes Explain: The father was engaged in counseling, whi counseling; however, was not yet engaged the mother needed counseling, and reported seeking counseling, and services were also	at the time of they would	of case closed continue t	ure. The ma o encourage	ternal gran	dmother and eive services	maternal . The uncl	uncle felt
	History	Prior to tl	ne Fatality	Y			
	C	hild Informa	ition				
Did the child have a history of alleged ch Was the child ever placed outside of the Were there any siblings ever placed outs Was the child acutely ill during the two	home prior side of the h weeks befor	r to the dea nome prior re death?	th? to this child	d's death?		Yes No N/A No	
	Infants	S Under One	Year Old				
During pregnancy, mother:  ☐ Had medical complications / infections ☐ Misused over-the-counter or prescription ☐ Experienced domestic violence ☐ Was not noted in the case record to have	on drugs	e issues liste	[ [ d	☐ Had hea ☐ Smoked ☑ Used illi		se	
Infant was born:  ☐ Drug exposed  ☐ With neither of the issues listed noted in	n case recor	·d		☐ With feta	al alcohol ef	fects or syn	ndrome

## **CPS - Investigative History Three Years Prior to the Fatality**

	Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Allegation Outcome	Compliance Issue(s)
1	1/17/2021	Deceased Child, Female, 1 Days	· · · · · · · · · · · · · · · · · · ·	Parents Drug / Alcohol Misuse	Unsubstantiated	Yes

BU-22-004 FINAL Page 8 of 10



Report Summary	:
----------------	---

An SCR report alleged the mother gave birth to the now deceased child on 11/17/22. At the time of birth, the mother tested positive for marijuana and the subject child's results were still pending.

Report Determination: Unfounded Date of Determination: 12/16/2021

#### **Basis for Determination:**

The mother admitted to using marijuana during her pregnancy to help with nausea. The subject child tested negative for marijuana, and there was no negative effect on the child. The mother reported no longer using marijuana at case closure. CCDSS made scheduled and unscheduled visits to the home and the mother always appeared sober and coherent.

#### **OCFS Review Results:**

A thorough investigation was completed with regards to the allegations. The safety of the child was assessed upon receipt of the report. Relevant collaterals were contacted. Safe sleep was discussed with both parents and a safe sleep environment was observed for the infant. However, the record did not reflect a formal plan of safe care was obtained, though the record does show discussions with mom around supports and services.

Are there Required Actions related to the compliance issue(s)? Yes No

#### Issue:

Failure to complete, document, and monitor a Plan of Safe Care

#### Summary:

Although the record reflected that a plan was made with the mother, CCDSS failed to develop, document and monitor a Plan of Safe Care to address the health and substance use disorder treatment needs of both the subject child and the mother despite knowledge the child was identified as being born exposed to substances.

#### Legal Reference:

17-OCFS-LCM-03 & 18-OCFS-LCM-06

#### Action:

CCDSS will complete, document and monitor a Plan of Safe Care that specifically addresses the child affected by substance abuse and the affected caregiver. CCDSS will complete the required form (OCFS-2196 Plan of Safe Care), when developing and documenting the Plan of Safe Care with the family.

#### **CPS - Investigative History More Than Three Years Prior to the Fatality**

There was no CPS investigative history more than three years prior to the fatality.

#### **Known CPS History Outside of NYS**

There was no known CPS history outside of New York State.

### Legal History Within Three Years Prior to the Fatality

Was there any legal activity within three years prior to the fatality investigation? There was no legal activity.

**Recommended Action(s)** 

BU-22-004 FINAL Page 9 of 10



Are there any recommended actions for local or state administrative or policy changes?	_Yes ⊠No
Are there any recommended prevention activities resulting from the review? ☐Yes ☒No	)